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FEBRUARY 18, 1999

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THORPE NORTH & WESTERN, L.L.P.  
ALAN J. HOWARTH  
P.O. BOX 1219  
SUITE 200  
SANDY, UT 84091-1219



\*100865655A\*

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FEB 25 1999

Thorpe, North & Western

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/23/1998

REEL/FRAME: 9540/0682  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:**

UTAH, UNIVERSITY OF

DOC DATE: 10/15/1998

**ASSIGNEE:**

UNIVERSITY OF UTAH RESEARCH  
FOUNDATION  
210 PARK BUILDING  
SALT LAKE CITY, UTAH 84112

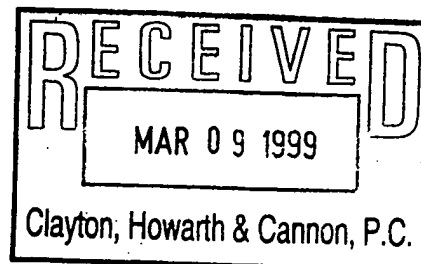
SERIAL NUMBER: 09109858

PATENT NUMBER:

FILING DATE: 07/02/1998

ISSUE DATE:

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



10-30-1998

U.S. DEPARTMENT OF

Patent and Trademark Office

To the Honorable Commissioner of P.

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**University of Utah**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: **October 15, 1998**

## 2. Name and address of receiving party(ies)

Name: **Univ rsity of Utah Res arch Foundation**

Internal Address: \_\_\_\_\_

Street Address: **210 Park Building****Salt Lake City, Utah 84112**Additional Name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **July 2, 1998**

## A. Patent Application No.(s)

**09/109,858**

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Alan J. Howarth**Internal Address: **THORPE, NORTH & WESTERN, L.L.P.**Street Address: **P.O. Box 1219****Suite 200**City: **Sandy** State: **Utah** ZIP: **84091-1219**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **\$40.00**☒ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

**20-0100 (Deficiencies only)**

(Attach duplicate copy of this page if paying by deposit account)

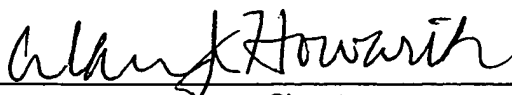
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Alan J. Howarth**

Name of Person Signing



Signature

**October 20, 1998**

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:

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ATTORNEY DOCKET NO. T5530.CIP (U-2494)

Alan J. Howarth  
THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219

### ASSIGNMENT

WHEREAS, the University of Utah, the mailing address of which is 421 Wakara Way, Suite 170, Salt Lake City, Utah 84108, has assigned to it a certain product and process entitled LINEAGE-RESTRICTED NEURONAL PRECURSORS, for which a continuation-in-part application for United States Letters Patent was filed on July 2, 1998, and received Serial No. 09/109,858.

WHEREAS, the University of Utah Research Foundation, a nonprofit corporation, organized and existing under the State of Utah, located at 210 Park Building, Salt Lake City, Utah 84112, is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of one dollar (\$1.00), the receipt and sufficiency whereof is hereby acknowledged, and other good and valuable consideration, the University of Utah, by these presents does sell, assign and transfer unto said University of Utah Research Foundation, the full and exclusive right to the said invention and in and to said application and any and all non-provisional applications, divisions and continuations, substitutes and reissues of said application and the entire right, title and interest in, to and under any and all Letters Patent of the United States and foreign countries that may issue or be granted on said invention.

The Commissioner of Patents is hereby authorized and requested to issue said Letters Patent to said University of Utah Research Foundation, as the assignee of the entire right, title and interest in and to the same, for its sole use and behoof, and for the use and behoof of its legal representatives,

to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the University of Utah had this assignment and sale not been made.

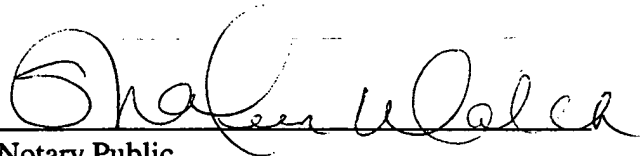
Executed this 5<sup>th</sup> day of OCTOBER, 1998, at Salt Lake City, Utah.



Arundeeep S. Pradhan, Associate Director  
University of Utah  
Technology Transfer Office

STATE OF UTAH     )  
                              : ss  
County of Salt Lake    )

Before me personally appeared said Arundeeep S. Pradhan and acknowledged the foregoing instrument to be his free act and deed this 5<sup>th</sup> day of October, 1998.



Notary Public  
Residing at:

My commission expires:

August 1, 2000

H:\Kabol\U of UNT5530CIP.Asn2Found.wpd

